SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL									
OMB Number:	3235-0287								
Estimated average burden									

hours per response: 0.5

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1. Name and Address of Reporting Person* Vivo Capital IX, LLC (Last) (First) (Middle) C/O VIVO CAPITAL LLC 192 LYTTON AVENUE						2. Issuer Name and Ticker or Trading Symbol <u>Tarsus Pharmaceuticals, Inc.</u> [TARS]									5. Relationship of Reporting Person(s) to Issu (Check all applicable) Director X 10% Own				
						3. Date of Earliest Transaction (Month/Day/Year) 05/05/2022									Office below	er (give tit v)	le	Othe belo	er (specify w)
					4. lf /	Ame	endment,	Date	e of Oriç	ginal F	iled (Month/D	ay/Year	·)	6. In Line		r Joint/Gro	oup Fili	ng (Chec	k Applicable
(Street) PALO A	LTO, C	A 9	9430	1										У	Form	filed by C filed by N on			
(City)	(S	tate) (2	Zip)																
		Table	l - I	Non-Deriva	ative	Sec	curities	A	quire	ed, D	isposed o	of, or E	Benefi	icial	ly Own	ed			
1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Ye			(ear) E	Exec f any	Deemed cution Date, y nth/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Disposed Of	Acquired (A) o (D) (Instr. 3, 4 a		nd 5)	Beneficially Owned Followir		Form	nership : Direct Indirect str. 4)	7. Nature of Indirect Beneficial Ownership		
									Code	v	Amount	(A) or (D)	Price		Reported Transact (Instr. 3	tion(s)			(Instr. 4)
Common	Stock			05/05/202	22				S		5,374	D	\$12.2	25(1)	2,46	9,001		Ι	See Footnote ⁽²
		Та	ble	II - Derivat (e.g., pi							sposed of, , convertil				Owne	d			•
Derivative Conversion Date Security or Exercise (Month/Day/Year) i		Exe if ar	A. Deemed 4. A. Deemed 4. A. Deemed 7. A. Deemed 7. A. Deemed 7. C		4. Transaction Code (Instr. 8)		5. Numbe of		ate Ex iration	ercisable and	7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		8 5 (I	2. Price of Derivative Security Instr. 5)	9. Numb derivativ Securitie Benefici Owned Followin Reported Transact (Instr. 4)	ve es ally g d tion(s)	10. Owners Form: Direct (I or Indire (I) (Instr	D) Benefic O) Owners ect (Instr. 4)	
					Code	v	(A)	(D)	Date Exe	e rcisab	Expiration le Date	Title	Amour or Numbe of Shares	er					
	nd Address o apital IX	f Reporting Person [°] ., <u>LLC</u>	*																
	'O CAPITA TON AVE			(Middle)															
(Street) PALO A	LTO,	СА		94301															
(City)		(State)		(Zip)															
		f Reporting Person [*] <u>nd IX, L.P.</u>	*																
	O CAPITA TON AVE			(Middle)															
(Street) PALO A	LTO	СА		94301		-													

Explanation of Responses:

(State)

(Zip)

(City)

1. The price reported herein is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$12.25 to \$12.33, inclusive. The reporting person undertakes to provide to Tarsus Pharmaceuticals, Inc., any security holder of Tarsus Pharmaceuticals, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (1) to this Form 4.

2. The shares are held directly by Vivo Capital Fund IX, L.P. Vivo Capital IX, LLC is the general partner of Vivo Capital Fund IX, L.P. As the managing members of Vivo Capital IX, LLC, Frank Kung, Edgar Engleman, Shan Fu, Hongbo Lu, Jack Nielsen, Mahendra Shah and Michael Chang share voting and dispositive power over the shares held by Vivo Capital Fund IX, L.P., but each disclaims beneficial ownership of such shares except to the extent of their individual pecuniary interest therein.

05/05/2022 /s/ Frank Kung, Managing Member of Vivo Capital IX, LLC /s/ Frank Kung, Managing Member of Vivo Capital IX, 05/05/2022 LLC, General Partner of Vivo Capital Fund IX, L.P. ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.